



Attorney Docket No.: 1006

JP
10/12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alexei Pilipetskii et al) Group Art Unit: 2633
Serial No.: 09/706,475)
Filed: November 3, 2000) Examiner: Not yet assigned
Title: METHOD AND APPARATUS FOR)
OPTIMIZING THE DISPERSION AND)
DISPERSION SLOPE FOR A DISPERSION)
MAP WITH SLOPE-COMPENSATING)
OPTICAL FIBERS)

Attention: Office of Petitions
Commissioner for Patents
Box DAC
Washington, D.C. 20231

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OCT - 9 2001
Technology Center 2600

Request to Correct Inventorship

Dear Sir:

The above-identified patent application was inadvertently filed naming Alexei Pilipetskii as the only inventor. However, there are two (2) additional inventors. Therefore, it is respectfully requested that the following inventors be added to the above referenced patent application:

Full Name of Inventor: Matthew Ma
Citizenship: USA
Residence: 39 Waterford Avenue
Morganville, NJ 07751


Full Name of Inventor: Morten Nissov
Citizenship: Denmark
Residence: 6 Mark Place
Ocean Township, NJ 07712


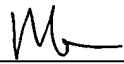
10/05/2001 HVUONG1 00000038 500309 09706475

01 FC:122 130.00 CH

We the above named inventors hereby declare that the error stated above occurred without deceptive intent on our part as required by 37 CFR Section 1.48(a).

Respectfully submitted,

 Date 9/25/01
Morten Nissov

  Date 9/25/01
Matthew X. Ma

DECLARATION AND POWER OF ATTORNEY

As below named inventors, we hereby declare that: our residence, post office address and citizenship are as stated below next to our names; we believe we are original inventors and first of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR OPTIMIZING THE DISPERSION AND DISPERSION SLOPE
FOR A DISPERSION MAP WITH SLOPE-COMENSATING OPTICAL FIBERS**

the specification of which was filed on November 3, 2000 as Application Serial No. 09/706,475.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a) which states: "A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine: 1) prior art cited in search reports of a foreign patent office in a counterpart application, and 2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office;"

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one

country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, We acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED

PCT APPLICATIONS DESIGNATING THE U.S.		
PCT APPLICATION NUMBER	PCT FILING DATE	U.S. SERIAL NUMBER ASSIGNED (if any)

We hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Daniel N. Daisak, Reg. No. 39,160, John P. Maldjian, Reg. No. 41,967. Please address all correspondence to:

Direct all correspondence to the address:

Daniel N. Daisak
Chief Patent and Trademark Counsel
TyCom (US) Inc.
Rm 2B-105, 250 Industrial Way West
Eatontown, NJ 07724

Please direct telephone calls to Daniel N. Daisak at (732) 578-7802.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of First Inventor: Alexei Pilipetskii	Inventor's Signature	Date
Citizenship: Russia		
Residence: 16 Raleigh Pass Colts Neck, NJ 07722	<i>Alexei Pilipetskii</i>	9/25/01
Post Office Address: Same as Above		

Full Name of Second Inventor: Matthew Ma	Inventor's Signature	Date
Citizenship: USA	<i>Matthew Ma</i>	9/25/01
Residence: 39 Waterford Avenue Morganville, NJ 07751		
Post Office Address: Same as Above		

Full Name of Third Inventor: Morten Nissov	Inventor's Signature	Date
Citizenship: Denmark	<i>Morten Nissov</i>	9/25/01
Residence: 6 Mark Place Ocean Township, NJ 07712		
Post Office Address: Same as Above		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alexei Pilipetskii et al) Group Art Unit: 2633
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Serial No.: 09/706,475)
) Examiner: Not yet assigned
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Title: METHOD AND APPARATUS FOR)
OPTIMIZING THE DISPERSION AND)
DISPERSION SLOPE FOR A DISPERSION)
MAP WITH SLOPE-COMPENSATING)
OPTICAL FIBERS)

Commissioner for Patents
Washington, DC 20231

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Assignee: TyCom (US) Inc., a Delaware corporation, certifies that it is the assignee of the entire right title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011310, Frame 0492. Additional inventors were added to the above-referenced patent for which a copy of the assignment thereof is attached.

B. ☐ A chain of title from the inventor(s), of the patent application identified above to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

The undersigned, whose title is supplied below, is empowered to sign this certificate on behalf of the assignee and has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

I hereby declare that all statements made herein are of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

TyCom (US) Inc.

Date: September 15, 2001

By: Robert M. Mark
Robert M. Mark
Vice President & General Counsel

MAILING ADDRESS:

TyCom (US) Inc.
Room 2B-106
250 Industrial Way West
Eatontown, NJ 07724
(732) 578-7802

ASSIGNMENT

WHEREAS We, the below named inventors, hereinafter referred to as Assignors, have made an invention entitled:

METHOD AND APPARATUS FOR OPTIMIZING THE DISPERSION AND DISPERSION SLOPE FOR A DISPERSION MAP WITH SLOPE-COMPENSATING OPTICAL FIBERS

for which We executed an application for United States Letters Patent Serial No. 09/706,475 filed November 3, 2000;

WHEREAS, TyCom (US) Inc., a corporation of Delaware whose post office address is 10 Park Avenue, Morristown, New Jersey 07960 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, We, as assignors, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, our entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

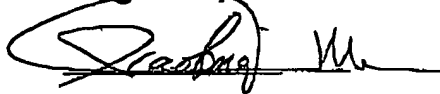
AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything

possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

County of Monmouth)
State of New Jersey) ss.

Matthew Ma
39 Waterford Avenue
Morganville, NJ 07751



Dated: 9/25/01

SEAL

Subscribed and sworn to before me
this 25th day of September, 2001.
Laura Fish, Notary Public

Laura Fish
Notary Public
State of New Jersey
My Commission Expires On June 25, 2006

County of MONMOUTH)
State of NEW JERSEY) ss. 1

Morten Nissov
6 Mark Place
Ocean Township, NJ 07712



Dated: 9/25/01

SEAL

Subscribed and sworn to before me
this 25th day of September, 2001.
Laura Fish, Notary Public

Laura Fish
Notary Public
State of New Jersey
My Commission Expires On June 25, 2006

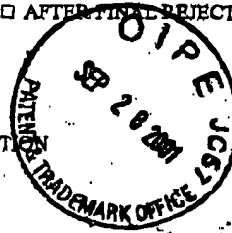
Serial No. 09/706,475

Acknowledgement Card
Docket # 1006 Attorney Naisa K

THE FOLLOWING HAS BEEN RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ON
THE DATE STAMPED HEREON:

- ☒ EXPRESS MAIL CERTIFICATE
☐ TRANSMITTAL LETTER WITH FILING FEE
☐ SPECIFICATION _____ PAGES ☐ PROVISIONAL
☐ _____ SHEETS OF DRAWINGS
☒ DECLARATION AND POWER OF ATTORNEY
☒ ASSIGNMENT copy
☐ COPY OF NOTICE TO FILE MISSING PARTS
☐ CERTIFIED COPY OF PRIORITY DOCUMENT
☐ PRELIMINARY AMENDMENT
☐ IDS ☐ SUPPLEMENTAL
☐ LETTER ☐ TO OFFICIAL DRAFTS PERSON
_____ SHEETS OF DRAWINGS

- ☐ AMENDMENT/RESPONSE ☐ AFTER FINAL REJECTION
☐ EXTENSION OF TIME
☐ NOTICE OF APPEAL
☐ ISSUE FEE TRANSMITTAL
☐ CERTIFICATE OF CORRECTION
☐ MAKE A RECORD
☐ PCT REQUEST
OTHER: _____
☒ OTHER: Request To correct Invention
☒ OTHER: Fee under 37CFR 3.73(a)
☐ OTHER: _____



DATE MAILED 9/28/01

FAX RECEIVED
JUL 30 2002
PETITIONS OFFICE



Deposit Account Statement



Requested Statement Month:

October 2001

Deposit Account Number: 500309

Name:

TYCO TELECOMMUNICATIONS (US) INC.

Attention:

DANIEL N. DAISAK

Address:

250 INDUSTRIAL WAY WEST

City:

EATONTOWN

State:

NJ

Zip:

07724-2206

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT
10/01	45	09399751	04787.81750	117	\$890.00
10/01	50	E- REPLENISHMENT		701	-
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10/09 2	09632415	BERGANO 22-C	103	\$126.00



John P. Maldjian
Senior Patent and Trademark Counsel

Tyco Telecommunications (US) Inc.
250 Industrial Way West
Eatontown, NJ 07724-2206
Phone (732) 578-7809
Fax (732) 578-7803

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JUL 30 2002

PETITIONS OFFICE

DATE: July 30, 2002

PLEASE DELIVER THE FOLLOWING 15 PAGE(S) IMMEDIATELY

TO: Office of Petitions

BUSINESS: United States Patent and Trademark Office

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AUG 01 2002

Technology Center 2600

RE: U.S. Serial No.: 09/706,475

COMMENTS:

ORIGINAL ☐ WILL/ ☒ WILL NOT FOLLOW

Note: The documents accompanying this facsimile transmission contain information belonging to Tyco Telecommunications (US) Inc. that may be confidential and/or legally privileged. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any section in reliance on the contents of this telecopied information is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone to arrange for return of these original documents to Tyco Telecommunications (US) Inc.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Alexei Pilipetskii)	Group Art Unit: 2633
)	
Application No.:	09/706,475)	
)	Examiner: Not yet assigned
Filed:	November 3, 2000)	
)	
Title:	METHOD AND APPARATUS FOR)	
	OPTIMIZING THE DISPERSION AND)	
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Box DAC
 Commissioner for Patents
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TRANSMITTAL LETTER

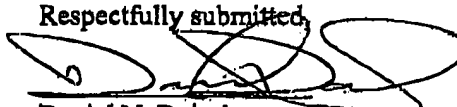
Dear Sir:

Enclosed herewith are the following:

1. Request to Correct Inventorship;
2. Declaration and Power of Attorney, including the additional inventors; and
3. Statement Under 37 CFR 3.73 (b) with copy of assignment from M. Nissov and M. Ma to TyCom (US), Inc.

The Commissioner is hereby authorized to charge the required fee of \$130.00 to Deposit Account No. 50-0309. The Commissioner is also authorized to charge any additional charges or credits to Deposit Account No. 50-0309.

Respectfully submitted,


 Daniel N. Daisak
 Reg.No. 39,160

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AUG 01 2002

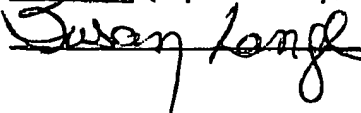
Technology Center 2600

TyCom (US) Inc.
 250 Industrial Way West
 Rm. 2B106
 Eatontown, NJ 07724
 732-578-7802 (VOICE)

CERTIFICATE OF EXPRESS MAILING 37 CFR §1.10

I hereby certify that the documents referenced herein are being deposited with the United States Postal Service, as Express Mail, mailing label EL697131762US addressed to the Assistant Commissioner of Patents, Box DAC, Washington, DC 20231 on September 28, 2001.

Susan Lange (Depositor's name printed or typed)

 (Depositor's Signature)